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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
STATEMENT UNDER 37 CFR 3 73/6\

		DER 37 CFR 3.73(b)	
Applicant/Patent Owner:	Ottavio V. VITOLO, Koji NA Ottavio ARANCIO, Stanisla	KANISHI, Michael L. SHEL V JARACZ, and Nina D. BE	_ANSKI, Sonja KRANE, EROVA
Application No./Patent No.:	10/593427	Filed/Issue Date:	September 19, 2006
-	COMPOUNDS, COMPOSITION	ONS AND EXTRACTS, AN	ND USES THEREOF
THE TRUSTEES OF COLUMBIA UNIVERS (Name of Assignee)		Univ	ersity
states that it is:			ership, university, government agency, etc.)
	e entire right, title, and interest;		
2. an assignee of les	ss than the entire right, title and	interest.	
(The extent (by pe	ercentage) of its ownership inter	erest is%)	
in the patent application/pater	nt identified above by virtue of e	either:	
A. X An assignment from	the inventor(s) of the patent ap	nnlication/natent identified at	time The analysis and
recorded in the Office	ed States Patent and Trademai	rk Office at Reel	love. The assignment was
Frame	, or for which a copy ther	reof is attached.	Nonemann F
OR			
B. A chain of title from the	e inventor(s), of the patent appli-	cation/patent identified above,	to the current assignee as follows:
i. From:		To:	
The document	t was recorded in the United S	States Patent and Tradema	ark Office at
Reel	, Frame	, or for which a copy t	thereof is attached.
2. From:		To:	normalia.
***************************************	t was recorded in the United S		t- Off:1
Reel	Frame	or for which a copy t	harant is attached
3. From:			Hereor is anaphed.
****	t was recorded in the United S	To: States Patent and Tradema	1. O.C
Reel	, Frame	or for which a convit	rk Office at
("""")			
	ments in the chain of title are I		
and the state of control	3.73(b)(1)(i), the documentary irrently is being, submitted for n	recordation pursuant to 37 CF	FR 3.11.
[NOTE: A separate cop Assignment Division in a See MPEP 302.08]	by (i.e., a true copy of the origin accordance with 37 CFR Part 3	ial assignment document(s)) 3, to record the assignment in	must be submitted to n the records of the USPTO.
The undersigned (whose title	is supplied below is authorize		assighee.
<u> </u>	Signature S W T		Date
Ja Gen Prised) Sďars¦Ph.D.		·-d34-4444
vannay m. Acerriata G	'Sears, "Ph.D. eneral Counsel	ı	relephone Number
Columbia	eneral Counsel a University	Walland Control of the Control of th	
~~~~	1 Dividion)		

## **ASSIGNMENT**

MT BINNEY ST. APT 1406, CAMBRIDGE, MA GLIGE

WHEREAS, we, Ottavio V. Vitolo, residing at 420.W. 119th Street, Apt. #29, New York, NY 10027, USA; USA, Koji Nakanishi, residing at 560 Riverside Drive, Apt. 9-J. New York, NY 10027, USA; Michael L. Shelanski, residing at 241 Kane Street, Brooklyn, NY 11231, USA; Sonja Krane, residing at 13627 Calais Drive, Del Mar, California 92014, USA: Ottavio Arancio, residing at 160 East 48th Street, Apt. #6L, New York, NY 10017, USA; Stanislav Jaracz, residing at Oldrichovice 487, Trinec, Czech Republic 73961; and Nina D. Berova, residing at 400 West 119th Street, Apt. 13G, New York, NY 10027, USA; collectively, the "Assignors," are the inventors of certain new and useful inventions and discoveries, disclosed in a provisional patent application entitled "GINKGOLIDE COMPOUNDS, COMPOSITIONS, EXTRACTS, AND USES THEREOF" filed with the United States Patent and Trademark Office as U.S. Patent Application No. 10/593,427 on September 19, 2006, hereinafter, the "Application"; and

WHEREAS, The Trustees of Columbia University in the City of New York, existing under the laws of the State of New York, having its principal office at 412 Low Memorial Library, 535 West 116th Street, New York, NY 10027, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors hereby assign to Assignee all of Assignors' right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application; any application that claims priority from the Application (including utility applications, divisionals, continuations, continuations-in-part, international applications or any foreign regional or national applications), or any application based in whole or in part on any of the foregoing.

Assignors agree that such Assignors and Assignors' heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications,

USIDOCS 6029812v1

Assignment

U.S. Application No.: 10/593,427

execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignors relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Assignors further agree that such Assignors will, without further compensation to Assignors during the term of such Assignors' employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

IN TESTIMONY WHEREOF,	I have hereunto set my hand and seal this 24 day of
AV4057 2058 (	Month/Year).  Little Willer Hotel
	Ottavio V. Vitolo
known to me to be the same ind	(Month/Year), Ottavio V. Vitolo, personally ividual who executed the foregoing Assignment, acknowledged was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name: Elizabeth Rgu
	Residential Address:
	195 Binney St #1406
	195 Binney St #1406 Cambridge HA 02142

Assignment

	U.S. Application No.: 10/593,427
IN TESTIMONY WHEREOF, I have hereunto September 200 (Month/Year).	Koji Nakanishi
On this <u>32</u> day of <u>September 2008</u> (Nenown to me to be the same individual who execute to me that execution of the same was of his/her own forth.	Month/Year), Koji Nakanishi, personally ed the foregoing Assignment, acknowledged a free will for the use and purposes therein set
WITNESS:	Henry a. Pllantal
Printed Name:	George A. Ellestad
Residential Ac	•
Pearl	River, NY, 10965
N TESTIMONY WHEREOF, I have hereunto (Month/Year).	set my hand and seal this day of
	Michael L. Shelanski
On this day of (More resonally known to me to be the same individual was warmen was composes therein set forth.	fonth/Year), Michael L. Shelanski, he executed the foregoing Assignment, of his/her own free will for the use and
WITNESS:	
Printed Name:	

Residential Address:

IN TESTIN	MONY WHEREO	F, I have hereunto (Month/Year).	set my han	d and sea	l this day o
			Sonja	Krane	
On thisto me to be that execution	day of the same individua on of the same was	l who executed the fo	fonth/Year), S regoing Assig vill for the use	Sonja Krano Inment, ack and purpo	e, personally known knowledged to me uses therein set forth.
		WITNESS:			
		Printed Name:			
		Residential Ad	dress:		
Sufr_	2008	F, I have hereunto (Month/Year).	Offario	o Arancio	a constant
known to me	e to be the same inc	dividual who executed the was of his/her own  WITNESS:  Printed Name:  Residential Add	I the foregoin free will for t	g Assignm	ent, acknowledged
			Will be a second of the second	d d d d d d d d d d d d d d d d d d d	· · · · · · · · · · · · · · · · · · ·
		-			

IN TESTIMONY	WHEREOF, I have hereunto set my hand and seal this day of(Month/Year).
	Stanislay Jaracz
On this day known to me to be to me that execution forth.	of(Month/Year), Stanislav Jaracz, personally the same individual who executed the foregoing Assignment, acknowledged to of the same was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
	Residential Address:
On this <u>22</u> day	WHEREOF, I have hereunto set my hand and seal this 22 day of Month/Year).  Nina D. Berova  of
	WITNESS: Kenge a. Ellestad Printed Name: George A. Ellestad
	Residential Address:
	Fearl River WY 1096T

## **ASSIGNMENT**

WHEREAS, we, Ottavio V. Vitolo, residing at 420 W. 119th Street, Apt. #29, New York, NY 10027, USA, Koji Nakanishi, residing at 560 Riverside Drive, Apt. 9-J, New York, NY 10027, USA; Michael L. Shelanski, residing at 241 Kane Street, Brooklyn, NY 11231, USA; Sonja Krane, residing at 13627 Calais Drive, Del Mar, California 92014, USA; Ottavio Arancio, residing at 160 East 48th Street, Apt. #6L, New York, NY 10017, USA; Stanislav Jaracz, residing at Oldrichovice 487, Trinec, Czech Republic 73961; and Nina D. Berova, residing at 400 West 119th Street, Apt. 13G, New York, NY 10027, USA; collectively, the "Assignors," are the inventors of certain new and useful inventions and discoveries, disclosed in a provisional patent application entitled "GINKGOLIDE COMPOUNDS, COMPOSITIONS, EXTRACTS, AND USES THEREOF" filed with the United States Patent and Trademark Office as U.S. Patent Application No. 10/593,427 on September 19, 2006, hereinafter, the "Application"; and

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NOW, THEREFORE, in consideration of good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignors hereby assign to Assignee all of Assignors' right, title and interest in the Invention, all improvements therein, the Application and all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application; any application that claims priority from the Application (including utility applications, divisionals, continuations, continuations-in-part, international applications or any foreign regional or national applications), or any application based in whole or in part on any of the foregoing.

Assignors agree that such Assignors and Assignors' heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications,

U.S. Application No.: 10/593,427

execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignors relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Assignors further agree that such Assignors will, without further compensation to Assignors during the term of such Assignors' employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

IN TESTIMONY WHEREO	F, I have hereunto set my hand and seal this day of
	_(Month/Year).
	Ottavio V. Vitolo
known to me to be the same i	(Month/Year), Ottavio V. Vitolo, personally ndividual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
·	Residential Address:

IN TESTIMONY WHE	REOF, I have hereunto set my hand and seal this day of(Month/Year).
	Koji Nakanishi
On this day of known to me to be the san to me that execution of the forth.	(Month/Year), Koji Nakanishi, personally ne individual who executed the foregoing Assignment, acknowledged assame was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
	Residential Address:
	Michael L. Shelanski  SAUT ZOUY (Month/Year), Michael L. Shelanski.
acknowledged to me that e purposes therein set forth.	be the same individual who executed the foregoing Assignment, execution of the same was of his/her own free will for the use and
	WITNESS: Plenta KALTI
	Printed Name: STEPHEN D. RUSSICO
	Residential Address:  Goodfred in New 20 900// Commission Expires

IN TESTIMONY WHEREC	OF, I have hereunto set my hand and seal this day of _(Month/Year).		
	Sonja Krane		
to me to be the same individual	(Month/Year), Sonja Krane, personally known al who executed the foregoing Assignment, acknowledged to me s of his/her own free will for the use and purposes therein set forth.		
	WITNESS:		
	Printed Name:		
	Residential Address:		
THE TESTIMONT WITERE	OF, I have hereunto set my hand and seal this day of(Month/Year).		
	Ottavio Arancio		
known to me to be the same in	(Month/Year), Ottavio Arancio, personally ndividual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set		
	WITNESS:		
	Printed Name:		
	Residential Address:		

IN TESTIMONY WHEREC	OF, I have hereunto set my hand and seal this day of _(Month/Year).	
	Stanislav Jaracz	
On this day of known to me to be the same ir to me that execution of the sar forth.	(Month/Year), Stanislav Jaracz, personally adividual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set	
	WITNESS:	
	Printed Name:	
	Residential Address:	
IN TESTIMONY WHEREC	OF, I have hereunto set my hand and seal this day of _(Month/Year).	
	Nina D. Berova	
known to me to be the same in	(Month/Year), Nina D. Berova, personally adividual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set	
	WITNESS:	
	Printed Name:	
	Residential Address:	

## **ASSIGNMENT**

WHEREAS, we, Ottavio V. Vitolo, residing at 420 W. 119th Street, Apt. #29, New York, NY 10027, USA, Koji Nakanishi, residing at 560 Riverside Drive, Apt. 9-J, New York, NY 10027, USA; Michael L. Shelanski, residing at 241 Kane Street, Brooklyn, NY 11231, USA; Sonja Krane, residing at 13627 Calais Drive, Del Mar, California 92014, USA; Ottavio Arancio, residing at 160 East 48th Street, Apt. #6L, New York, NY 10017, USA; Stanislav Jaracz, residing at Oldrichovice 487, Trinec, Czech Republic 73961; and Nina D. Berova, residing at 400 West 119th Street, Apt. 13G, New York, NY 10027, USA; collectively, the "Assignors," are the inventors of certain new and useful inventions and discoveries, disclosed in a provisional patent application entitled "GINKGOLIDE COMPOUNDS, COMPOSITIONS, EXTRACTS, AND USES THEREOF" filed with the United States Patent and Trademark Office as U.S. Patent Application No. 10/593,427 on September 19, 2006, hereinafter, the "Application"; and

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Assignment

U.S. Application No.: 10/593,427

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IN TESTIMONY WHEREOF, I h	ave hereunto set my hand and seal this day of
(M	onth/Year).
	Ottavio V. Vitolo
known to me to be the same indivi	(Month/Year), Ottavio V. Vitolo, personally idual who executed the foregoing Assignment, acknowledged was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
	Residential Address:

IN TESTIMONY WHEREC	F, I have hereunto set my hand and seal this day of _(Month/Year).	
	Koji Nakanishi	
known to me to be the same in	(Month/Year), Koji Nakanishi, personally ividual who executed the foregoing Assignment, acknowledged e was of his/her own free will for the use and purposes therein set	
	WITNESS:	
	Printed Name:	
	Residential Address:	
IN TESTIMONY WHEREO	F, I have hereunto set my hand and seal this day of (Month/Year).	
	Michael L. Shelanski	
personally known to me to be	(Month/Year), Michael L. Shelanski, he same individual who executed the foregoing Assignment, ation of the same was of his/her own free will for the use and	
	WITNESS:	
	Printed Name:	
	Residential Address:	

IN TESTIM	ONY WHEREC	OF, I have hereunto set my hand and seal this day of _(Month/Year).
		Sonja Krane
		(Month/Year), Sonja Krane, personally known al who executed the foregoing Assignment, acknowledged to me s of his/her own free will for the use and purposes therein set forth.
		WITNESS:
		Printed Name:
		Residential Address:
	ONT WHEREC	
		Ottavio Arancio
known to me	to be the same ir	(Month/Year), Ottavio Arancio, personally adividual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set
		WITNESS:
		Printed Name:
		Residential Address:
	•	

IN TESTIMONY WHEREOF, I ha September /2008 (Month	Year).    Hamilar Janes   Stanislav Jaraez
known to me to be the same individual to me that execution of the same was of forth.	(Month/Year), Stanislav Jaracz, personally who executed the foregoing Assignment, acknowledged of his/her own free will for the use and purposes therein set
V	VITNESS: Stacey Laveder
	rinted Name: Stacey Lavender
R	Residential Address:
_	5 Singleton Dr.
_	Chesterfield, NJ 08515
_	
IN TESTIMONY WHEREOF, I ha	ve hereunto set my hand and seal this day of /Year).
	Nina D. Berova
known to me to be the same individual	(Month/Year), Nina D. Berova, personally who executed the foregoing Assignment, acknowledged f his/her own free will for the use and purposes therein set
V	VITNESS:
P	rinted Name:
R	esidential Address:

## **ASSIGNMENT**

WHEREAS, we, Ottavio V. Vitolo, residing at 420 W. 119th Street, Apt. #29, New York, NY 10027, USA; CSA. Koji Nakanishi, residing at 560 Riverside Drive, Apt. 9-J, New York, NY 10027, USA; Michael L. Shelanski, residing at 241 Kane Street, Brooklyn, NY 11231, USA; Sonja Krane, residing at 13627 Calais Drive, Del Mar, California 92014, USA: Ottavio Arancio, residing at 160 East 48th Street, Apt. #6L, New York, NY 10017, USA; Stanislav Jaracz, residing at Oldrichovice 487. Trinec, Czech Republic 73961; and Nina D. Berova, residing at 400 West 119th Street, Apt. 13G, New York, NY 10027, USA; collectively, the "Assignors," are the inventors of certain new and useful inventions and discoveries, disclosed in a provisional patent application entitled "GINKGOLIDE COMPOUNDS, COMPOSITIONS, EXTRACTS, AND USES THEREOF" filed with the United States Patent and Trademark Office as U.S. Patent Application No. 10/593,427 on September 19, 2006, hereinafter, the "Application"; and

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U.S. Application No.: 10/593,427

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IN TESTIM	MONY WHEREOF	, I have hereunto set my hand and seal this day of
der entre de la constant de la const		_(Month/Year).
		Ottavio V. Vitolo
KHOWH TO H	ie to be the same in	(Month/Year), Ottavio V. Vitolo, personally dividual who executed the foregoing Assignment, acknowledged ne was of his/her own free will for the use and purposes therein set
		WITNESS:
		Printed Name:
		Residential Address:

	EOF, I have hereunto set my hand and seal this day of(Month/Year).
	Koji Nakanishi
On this day of known to me to be the same to me that execution of the forth.	(Month/Year), Koji Nakanishi, personally e individual who executed the foregoing Assignment, acknowledged same was of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
•	Residential Address:
<b></b>	
IN TESTIMONY WHER	EOF, I have hereunto set my hand and seal this day of(Month/Year).
	Michael L. Shelanski
personally known to me to	(Month/Year), Michael L. Shelanski, be the same individual who executed the foregoing Assignment, xecution of the same was of his/her own free will for the use and
	WITNESS:
	Printed Name:
	Residential Address:

Assignment

U.S.	Application	No.:	10/593.	427
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	have hereunto set my hand and seal this // day of
<u>(Ctober 2008</u> (Mon	Sonia Krane
	Sonja Krane
On this 17 day of Octor	الله عن الله الله الله الله الله الله الله الل
to me to be the same individual who	executed the foregoing Assignment, acknowledged to me
that execution of the same was of his	s/her own free will for the use and purposes therein set forth.
	WITNESS: (Parlolle J. Sauch
	WITNESS: Printed Name: Charlotte J. Sauer
	Residential Address:
	7854 Deer Creek Road
	7854 Deer Creek Road Salt Lake City, UT 84121
	J,
	have hereunto set my hand and seal this day of nth/Year).
	Ottavio Arancio
known to me to be the same individ	(Month/Year), Ottavio Arancio, personally ual who executed the foregoing Assignment, acknowledged as of his/her own free will for the use and purposes therein set
	WITNESS:
	Printed Name:
	Residential Address:
	Printed Name:

	_(Month/Year).		
	Stanislav Jaracz		
known to me to be the same ir	(Month/Year), Stanislav Jaracz, personally dividual who executed the foregoing Assignment, acknowledged ac was of his/her own free will for the use and purposes therein set		
	WITNESS:		
	Printed Name:		
	Residential Address:		
IN TESTIMONY WHEREC	OF, I have hereunto set my hand and seal this day of _(Month/Year).		
	. Nina D. Bercva		
known to me to be the same ir	(Month/Year), Nina D. Berova, personally individual who executed the foregoing Assignment, acknowledged me was of his/her own free will for the use and purposes therein set		
	WITNESS:		
·	Printed Name:		
	Residential Address:		
	· .		